

Iccrea Banca	
Protocollo: ICR-OUT-000009-2026-AML	Data: 14-04-2026
Argomento:	

To whom it may concern

CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

[OMB CONTROL NUMBER 1506-0043]

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United State Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or broker-dealer in securities (a **covered financial institution** as defined in 31 CFR 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 CFR 1010.100(u)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 CFR 1010.100(d)).¹

A **correspondent account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instructions for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United State is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instructions for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institution, Iccrea Banca S.p.A. - Istituto Centrale del Credito Cooperativo (including the subsidiaries and affiliated banks listed in the attached Appendix n. 1) ("**Foreign Bank**") hereby certifies as follows:

¹ A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

B. Correspondent Accounts Covered by this Certification: Check one box.

This Certification applies to **all** accounts established for Foreign Bank by Covered Financial Institutions.

This Certification applies to Correspondent Accounts established by _____(name of Covered Financial Institution(s)) for Foreign Bank.

C. Physical Presence/Regulated Affiliate Status: Check **one** box and complete the blanks.

Foreign Banks maintains a **physical presence** in any country. That means:

- Foreign Bank has a place of business at the following street address:
Via Lucrezia Romana 41/47, 00178 Rome, Italy., where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
- The above address Italy, where Foreign Bank is authorized to conduct banking activities.
- Foreign Bank is subject to inspection by Bank of Italy, (insert Banking Authority), the banking authority that licensed Foreign Bank to conduct banking activities.

Foreign Bank does not have a physical presence in any country, but Foreign Bank is a **regulated affiliate**. That means:

- Foreign Bank is an affiliate of a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address: _____, where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities.
- The above address is in _____(insert country), where the depository institution, credit union, or foreign bank is authorized to conduct banking activities.
- Foreign Bank is subject to supervision by _____, (insert Banking Authority), the same banking authority that regulates the depository institution, credit union, or foreign bank.

Foreign Bank does **not** have a physical presence in a country and is **not** a regulated affiliate.

D. Indirect Use of Correspondent Accounts: Check box to certify.

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank hereby certifies that it does **not** use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information: Check box 1 or 2 below, **if applicable.**

- 1. **Form FR Y-7 is on file.** Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.
- 2. **Foreign Bank's shares are publicly traded.** Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

If **neither** box 1 or 2 of Part E is checked, complete Item 3 below, **if applicable.**

- 3. Foreign Bank has no **owner(s)** except as set forth below. For purposes of this Certification, **owner** means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or other voting interests** means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family² shall be considered one **person**.

Name	Address
N.A.	N.A.

There is no person or entity that directly or indirectly owns, controls, or has power to vote 25 percent or more of any class of voting securities of Iccrea Banca S.p.A. - Istituto Centrale del Credito Cooperativo.

² The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.

F. Process Agent: complete the following.

The following individual or entity: Iccrea Banca S.p.A. - Istituto Centrale del Credito Cooperativo is a resident of the United States at the following street address:

CT CORPORATION - 28 Liberty St, New York, NY 10005, and is authorized to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

G. General

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Giancarlo Varola, Chief AML Officer, certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.



Chief AML Officer
Giancarlo Varola

Iccrea Banca S.p.A.

Executed on this 14th day of April, 2026.

Appendix 1: List of Affiliated Banks and Subsidiaries (Regulated Affiliates) covered by this Certification.

Received and reviewed by:

Name: _____ Title: _____ For: _____
[Name of Covered Financial

Institution] Date: _____

[67 FR 60573, Sept. 26, 2002]

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Public recordkeeping burden for this collection of information is estimated to average 4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The OMB control number for this information collection is 1506-0043. You may submit comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, by calling the FinCEN Resource Center at 800-767-2825 or by email at frc@fincen.gov. Alternatively, you may mail us comments at Policy Division, Financial Crimes Enforcement Network,

P.O. Box 39, Vienna, VA 22183. Please include 1506-0043 in the body of the text.

APPENDIX 1

List of Affiliated Banks and Subsidiaries (Regulated Affiliates)

100% Subsidiaries of ICCREA BANCA S.p.A.:

- BCC CreditoConsumo S.p.A.
- BCC Factoring S.p.A.
- BCC Leasing S.p.A.
- BCC Financing S.p.A. (già Banca MedioCredito del Friuli Venezia Giulia S.p.A.)
- BCC Risparmio & Previdenza S.G.R.p.A. (Società di Gestione del Risparmio)
- BCC Rent & Lease S.p.A.
- BCC Sistemi Informatici S.p.A.
- BCC Sinergia S.p.A.
- BCC Servizi Assicurativi S.r.l.
- BCC GestioneCrediti S.p.A. (Società per la gestione e il recupero crediti)
- BCC Beni Immobili S.r.l.

Affiliated Banks part of [BCC ICCREA GROUP](#):

Full Legal Name	City of Registered Office	SWIFT/BIC (Principal)
BCC di Bari e Taranto S.C.	Bari	ICRA IT RR
BCC dell'Elba S.C.	Portoferraio (LI)	ICRA IT RR
BCC Mediocrati S.C.	Rende (CS)	ICRA IT RR
BCC Magna Grecia S.C.	Vallo della Lucania (SA)	ICRA IT RR
Credito Cooperativo Romagnolo - S.C.	Cesena (FC)	ICRA IT RR
Emil Banca - Credito Cooperativo - S.C.	Bologna	ICRA IT RR

Full Legal Name	City of Registered Office	SWIFT/BIC (Principal)
Banca Centro - Credito Cooperativo S.C.	Sovicille (SI)	ICRA IT RR
Banca Cremasca e Mantovana S.C.	Crema (CR)	ICRA IT RR
BCC della Marca S.C.	Orsago (TV)	ICRA IT RR
Credifriuli S.C.	Udine	ICRA IT RR
BCC Adriatico Teramano S.C.	Notaresco (TE)	ICRA IT RR
BCC della Calabria Ulteriore S.C.	Borgia (CZ)	ICRA IT RR
BCC di Cagliari S.C.	Cagliari	ICRA IT RR
BCC di Andria S.C.	Andria (BT)	ICRA IT RR
BCC Agrigentino S.C.	Agrigento	ICRA IT RR
BCC di Napoli S.C.	Napoli	ICRA IT RR
BCC di Putignano S.C.	Putignano (BA)	ICRA IT RR
Banca Ancona Falconara Marittima S.C.	Falconara Marittima (AN)	ICRA IT RR
Banca di Montepaone S.C.	Montepaone (CZ)	ICRA IT RR

Full Legal Name	City of Registered Office	SWIFT/BIC (Principal)
BCC di Basciano S.C.	Basciano (TE)	ICRA IT RR
BCC della Valle del Trigno S.C.	San Salvo (CH)	ICRA IT RR
BCC Valpolicella Benaco Banca S.C.	Marano di Valpolicella (VR)	ICRA IT RR
BCC Veronese e Colognese S.C.	Cologna Veneta (VR)	ICRA IT RR
BCC Centropadana S.C.	Lodi	ICRA IT RR
Banco Fiorentino - Mugello Impruneta Signa S.C.	Calenzano (FI)	ICRA IT RR
BCC di Roma S.C.	Roma	ICRA IT RR
BCC Brianza e Laghi S.C.	Alzate Brianza (CO)	ICRA IT RR
BCC di Altofonte e Caccamo S.C.	Altofonte (PA)	ICRA IT RR
BCC di Anghiari e Stia S.C.	Anghiari (AR)	ICRA IT RR
BCC di Avetrana S.C.	Avetrana (TA)	ICRA IT RR
BCC Pordenonese e Monsile S.C.	Azzano Decimo (PN)	ICRA IT RR
BCC di Pescia e Cascina S.C.	Pescia (PT)	ICRA IT RR

Full Legal Name	City of Registered Office	SWIFT/BIC (Principal)
BCC di Arborea S.C.	Arborea (OR)	ICRA IT RR
BCC Campania Centro S.C.	Battipaglia (SA)	ICRA IT RR
BCC di Bellegra S.C.	Bellegra (RM)	ICRA IT RR
BCC di Binasco S.C.	Binasco (MI)	ICRA IT RR
Banca delle Terre Venete S.C.	Vedelago (TV)	ICRA IT RR
BCC di Busto Garolfo e Buguggiate S.C.	Busto Garolfo (MI)	ICRA IT RR
BCC di Cantù S.C.	Cantù (CO)	ICRA IT RR
BCC di Capaccio Paestum e Serino S.C.	Capaccio Paestum (SA)	ICRA IT RR
BCC Abruzzese Cappelle sul Tavo S.C.	Cappelle sul Tavo (PE)	ICRA IT RR
BCC del Basso Sebino S.C.	Capriolo (BS)	ICRA IT RR
BCC di Carate Brianza S.C.	Carate Brianza (MB)	ICRA IT RR
BCC di Caravaggio e dell'Adda S.C.	Caravaggio (BG)	ICRA IT RR
BCC di Terra d'Otranto S.C.	Carmiano (LE)	ICRA IT RR

Full Legal Name	City of Registered Office	SWIFT/BIC (Principal)
BCC delle Alpi Marittime S.C.	Carrù (CN)	ICRA IT RR
Banca Annia - Credito Cooperativo S.C.	Cartura (PD)	ICRA IT RR
BCC di Milano S.C.	Carugate (MI)	ICRA IT RR
Credito Padano S.C.	Cremona	ICRA IT RR
BCC dei Sibillini S.C.	Casavecchia (MC)	ICRA IT RR
BCC di Valdarno Fiorentino S.C.	Cascia (FI)	ICRA IT RR
BCC di Castellana Grotte S.C.	Castellana Grotte (BA)	ICRA IT RR
BCC Castiglione Messer Raimondo e Pianella S.C.	Castiglione M.R. (TE)	ICRA IT RR
Banca Piceno - Credito Cooperativo S.C.	Castignano (AP)	ICRA IT RR
BCC dell'Oglio e del Serio S.C.	Cividate al Piano (BG)	ICRA IT RR
BCC Valsassina S.C.	Cortenova (LC)	ICRA IT RR
BCC di Fano S.C.	Fano (PU)	ICRA IT RR

Full Legal Name	City of Registered Office	SWIFT/BIC (Principal)
BCC di Alba, Langhe, Roero e del Canavese S.C.	Alba (CN)	ICRA IT RR
BCC di Erchie S.C.	Erchie (BR)	ICRA IT RR
BCC Ravennate, Forlivese e Imolese S.C.	Faenza (RA)	ICRA IT RR
BCC di Filottrano S.C.	Filottrano (AN)	ICRA IT RR
BCC Gaudio di Lavello S.C.	Lavello (PZ)	ICRA IT RR
BCC di Gambatesa S.C.	Gambatesa (CB)	ICRA IT RR
BCC Agrobresciano S.C.	Ghedi (BS)	ICRA IT RR
BCC della Basilicata S.C.	Laurenzana (PZ)	ICRA IT RR
BCC della Valle del Torto S.C.	Lercara Friddi (PA)	ICRA IT RR
BCC di Leverano S.C.	Leverano (LE)	ICRA IT RR
BCC di Canosa e Loconia S.C.	Canosa di Puglia (BT)	ICRA IT RR
BCC di Lezzeno S.C.	Lezzeno (CO)	ICRA IT RR
Chiantibanca - Credito Cooperativo S.C.	San Casciano V.P. (FI)	ICRA IT RR

Full Legal Name	City of Registered Office	SWIFT/BIC (Principal)
BCC di Garda S.C.	Montichiari (BS)	ICRA IT RR
BCC di Mozzanica S.C.	Mozzanica (BG)	ICRA IT RR
BCC Marina di Ginosa S.C.	Marina di Ginosa (TA)	ICRA IT RR
BCC del Metauro S.C.	Orciano di Pesaro (PU)	ICRA IT RR
BCC di Ostra e Morro d'Alba S.C.	Ostra (AN)	ICRA IT RR
BCC di Ostra Vetere S.C.	Ostra Vetere (AN)	ICRA IT RR
BCC di Ostuni S.C.	Ostuni (BR)	ICRA IT RR
BCC di Pachino S.C.	Pachino (SR)	ICRA IT RR
BCC di Udine S.C.	Udine	ICRA IT RR
BCC di Paliano S.C.	Paliano (FR)	ICRA IT RR
BCC Versilia Lunigiana e Garfagnana S.C.	Pietrasanta (LU)	ICRA IT RR
BCC di Pergola e Corinaldo S.C.	Pergola (PU)	ICRA IT RR
BCC Vicentino Pojana Maggiore S.C.	Pojana Maggiore (VI)	ICRA IT RR

Full Legal Name	City of Registered Office	SWIFT/BIC (Principal)
BCC di Pontassieve S.C.	Pontassieve (FI)	ICRA IT RR
BCC di Pratola Peligna S.C.	Pratola Peligna (AQ)	ICRA IT RR
CentroMarca Banca S.C.	Treviso	ICRA IT RR
BCC di Recanati e Colmurano S.C.	Recanati (MC)	ICRA IT RR
BCC di Ripatransone e del Fermano S.C.	Ripatransone (AP)	ICRA IT RR
BCC Rivarolo Mantovano S.C.	Rivarolo Mantovano (MN)	ICRA IT RR
BCC di Provincia Romana S.C.	Formello (RM)	ICRA IT RR
BCC Veneta S.C.	Padova	ICRA IT RR
BCC del Valdarno S.C.	San Giovanni Valdarno (AR)	ICRA IT RR
BCC di Pesaro S.C.	Pesaro	ICRA IT RR
BCC di Santeramo in Colle S.C.	Santeramo in Colle (BA)	ICRA IT RR
Banca TEMA - Terre d'Etruria di Maremma e Amiata S.C.	Grosseto	ICRA IT RR

Full Legal Name	City of Registered Office	SWIFT/BIC (Principal)
BCC di Scafati e Cetara S.C.	Scafati (SA)	ICRA IT RR
BCC Appulo Lucana S.C.	Acerenza (PZ)	ICRA IT RR
BCC VENEZIA GIULIA S.C.	Gradisca d'Isonzo (GO)	ICRA IT RR
BCC di Triuggio e della Valle del Lambro S.C.	Triuggio (MB)	ICRA IT RR
BCC della Valle del Fitalia S.C.	Castell'Umberto (ME)	ICRA IT RR
BCC Alta Toscana S.C.	San Marcello Piteglio (PT)	ICRA IT RR
BCC Bergamasca e Orobica S.C.	Zanica (BG)	ICRA IT RR
BCC Don Rizzo S.C.	Alcamo (TP)	ICRA IT RR
Banca Colli Albani e Nettuno S.C.	Genzano di Roma (RM)	ICRA IT RR
BCC di San Cataldo S.C.	San Cataldo (CL)	ICRA IT RR
BCC di San Francesco S.C.	San Giovanni Gemini (AG)	ICRA IT RR
BCC delle Madonie S.C.	Petralia Sottana (PA)	ICRA IT RR

Full Legal Name	City of Registered Office	SWIFT/BIC (Principal)
BCC di Terra di Lavoro S.C.	Caserta	ICRA IT RR
BCC Ulivi Terra di Bari S.C.	Bitonto (BA)	ICRA IT RR
Rivierabanca S.C.	Rimini	ICRA IT RR
BCC S. Marco dei Cavoti e del Sannio-Calvi S.C.	S. Marco dei Cavoti (BN)	ICRA IT RR

This Appendix covers all the Cooperative Credit Banks (BCC) that are members of the [GRUPPO BCC ICCREA](#). Please note that the list of individual BCCs is subject to change due to ongoing merger and acquisition processes within the Group. Any entity that is a member of the [GRUPPO BCC ICCREA](#) is to be considered a 'Regulated Affiliate' covered by this Certification, regardless of recent name changes or corporate reorganizations.