

Rome, 16 January 2023
Prot. 002-2023/U.O. AML Perimetro Diretto

To whom it may concern

COMPANY PROFILE

ICCREA BANCA S.p.A. is firmly committed to participating in international efforts to combat money laundering and the financing of terrorism and criminal activities, in line with the international sanctions programmes. Italy is member Country of the Financial Action Task Force (FATF) and the European Union (EU) and has enacted laws and rules designed to implement the anti-money laundering policies of both FATF and the EU. The goal to these laws is to detect and prevent money laundering and potential terrorism financing.

INFORMATION ON INSTITUTION

- **Legal Name:** ICCREA Banca S.p.A. – Istituto Centrale del Credito Cooperativo
Parent company of the Gruppo Bancario Cooperativo Iccrea
- **Banking License:** no. 5251
- **SWIFT address:** ICRAITRR
- **ABI (Italian Banking Association):** 08000
- **Physical address/Head Office:** Via Lucrezia Romana, 41- 47 - 00178 – Rome, Italy
- **LEI Code:** NNVPP80YIZGEY2314M97
- **GIIN:** MBGJPR.99999.SL.380
- **Website:** www.iccreabanca.it - www.gruppoiccrea.it
- **Principal business line:** GRUPPO BCC ICCREA is made up of 120 Cooperative Credit Banks, distributed - with more than 2,500 Italian branches - throughout the country, and various service companies. Iccrea Banca, as Parent Bank, ensures a unitarian strategic drive together with a uniform system of controls. United around a vision of a common and sustainable future, the Group is bound by the Cohesion Agreement, based on a stable structure of cross guarantees and a solid system of prevention, to protect members, savers and the reputation of the banks forming the Group. Each BCC member of the Group collects on the territory and returns to the territory. For more than one hundred years, the Cooperative Banks have been playing an essential role in supporting real economy, promoting the growth and social and economic development of local realities. Our goal is to enhance the richness of our territories, to create value, networks, actual development opportunities, by opening up markets and widening the horizon of small and medium enterprises, with the power of a large national group.

GENERAL INFORMATION:

- **Number of employees: 2142 (on 30/09/2022)**
- **Private owned by n. 137 shareholders:**
 - **n. 119 Italian Cooperative Banks (BCC)**
 - **n. 2 Other Private Italian Banks**
 - **n. 16 Other entities**
- **No one of the shareholders held more than 10% of the total shares**
- **Shares: not listened on any stock exchange**

Legal Representative: Mr. Giuseppe Maino – Chairman of the BOARD of DIRECTORS

General Manager: Mr. Mauro Pastore

BOARD OF DIRECTORS

Chairman	Maino Giuseppe
Deputy Chairman	Stra Pierpaolo
	Fiordelisi Teresa
Directors	Alfieri Lucio
	Carri Francesco
	Longhi Maurizio
	Benabdallah Nadia
	Piva Flavio
	Petrini Paola
	Ottoboni Roberto
	Gambi Giuseppe
	Leone Paola
	Menegatti Luigi
	Rimoldi Enrica
Zoni Laura	

BOARD OF AUDITORS

Chairman	Zanardi Barbara
Auditors	Andriolo Riccardo
	Capuano Claudia
Alternates	Cignolini Michela
	Rocchetti Vittorio

OTHER INFORMATION

In order to comply with Directives (UE) 2015/849 - (UE) 2018/843, which are transposed into D.Lgs. 231/2007 and its modification, ICCREA BANCA S.p.A. has adopted a Group Anti-Money Laundering/Counter Terrorist Financing (AML/CTF) Policy applicable to all its subsidiaries and

managed affiliates. ICCREA BANCA S.p.A. has also put in place specific controls in order to comply with UN, UE, UK, USA sanctions programmes.

Furthermore, ICCREA BANCA S.p.A. hereby confirms that a centralized adequate control is in place, in order to ensure that the Group AML/CFT policy is effectively applied, for further information please see the “Wolfsberg AML Questionnaire”.

▪ **Primary regulatory bodies that supervise the Financial Institution:**

- Banca d'Italia – Via Nazionale, 91 00184 - Rome Italy;
- European Central Bank
- Unità di Informazione Finanziaria (UIF), member of FATF, for suspicious activities and transactions – Banca d'Italia, Largo Bastia 35 00181 – Rome Italy;
- CONSOB: Via Giovanni Battista Martini, 3 – 00198 Rome Italy;

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Chief AML Officer
Giancarlo Varola